



SECURITIES SETTLEMENT INSTRUCTION FORM

證券交收指示表格

(參與者編號: B01884)

SI 證券交收指示

ISI 投資者交收指示

請將此表格寄回或親身交回本公司或傳真至+852 2893 8813 或電郵至 cs@hksecurities.com.hk。

Please contact our Customer Service Hotline at +852 2893 5566 for any assistance. 如需協助, 請致電客戶服務熱線 +852 2893 5566。

Account Holder(s) 賬戶持有人

Name 姓名	Account no. 賬戶號碼
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Receipt 收取股份

Delivery 交付股份

Settlement Date 交收日期: _____

Counterparty Details 交易對手資料

Name 公司名稱	CCASS ID No. 中央結算代號
Contact Person 聯絡人姓名	Contact No. 聯絡電話
Account Name 賬戶名稱	Account No. 賬戶號碼

Instructions 指示

I/ We hereby instruct you to transfer the below stocks 本人/吾等謹此指示 貴公司轉移以下股票

			FOR OFFICE USE ONLY	
Stock Code 股票代號	Stock Name 股票名稱	No. of share(s) 股份數量	Payment ^{4, 5, 6}	Charge
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
			FOP/DVP/RDVP	
				TOTAL:

I/ We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/ We hereby agree to indemnify and keep you indemnified in respect of any loss or expenses, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。本人/吾等謹此承諾就本交收指示可能令貴公司蒙受或承擔的任何損失或費用, 向貴公司作出彌償或使之獲得彌償。

Signature of Account Holder(s) 賬戶持有人簽署

Date 日期

Notes 註

- To ensure SIs are successfully completed on the specific settlement date, please provide instructions by 11:00 a.m. on the settlement date.
為了確保交收指示在指定的交收日期完成，請在交收當日上午 11 點前提供指示。
- Settlement Instruction Fee of HK\$5 per board lot (minimum charge HK\$100 per stock) will be charged for stock delivered out. Any costs and/or charges so incurred will be debited from the captioned account.
提貨將收取交收指示費用每手港幣 5 元正(最低收費每隻股票港幣 100 元正)，所需費用將在上述證券帳戶扣取。
- All account holder(s) must sign on this form.
所有賬戶持有人必須於本表格上簽署。
- FOP means Free of Payment.
FOP 指毋需付款
- DVP means Delivery versus Payment. Shares are delivered to the counterparty through CCASS while cash payments are made overnight through the payment system of Hong Kong Interbank Clearing Ltd. ("HKICL"). Client are thus exposed to credit risk.
DVP 指貨銀對付，即股份轉移透過中央結算系統進行，而有關款項則於當晚才透過香港銀行同業結算有限公司的系統進行交收。客戶因而面對信貸風險。
- RDVP means Realtime Delivery versus Payment. Shares are delivered to the counterparty through CCASS upon receipt of payment confirmation from HKICL. CHATS charges will be borne by client.
RDVP 指即時貨銀對付，即當香港結算收到香港銀行同業結算有限公司的付款確認後，便即時發放有關股票予付款方參與者。銀行跨行即時轉款費用將由客戶繳付。
- All the above fees are subject to change without prior notice.
以上收費如有任何更改，恕不另行通知。

FOR OFFICE USE ONLY 本公司專用			
Purpose of SI	<input type="checkbox"/> C – Broker Custodian Transaction	<input type="checkbox"/> L – New Borrowing/ Lending	<input type="checkbox"/> P – Stock Pledging
	<input type="checkbox"/> M – Portfolio Management	<input type="checkbox"/> R – Return/ Recall	
Signature Verified By:		Date	
AE Name:		Date	
CCASS & BOS Maker:		Date	
CCASS & BOS Checker:		Date	
Final Checked By RO:		Date	