



ACCOUNT OPENING CHEKLIST – INDIVIDUAL/ JOINT ACCOUNT 個人或聯名帳戶開戶清單

Please check that the following documents are attached:
請確保附下列文件:

- Account Opening Form
開戶申請書
- Client Agreement
客戶協議
- Derivative Products Risk Disclosure Statement
衍生產品風險披露聲明
- Personal Information Collection Statement
個人資料收集聲明
- Form W-8BEN
W-8BEN 表格
- Self-Certification Form – Individual (CRS-I (HK))
自我證明表格 - 個人 (CRS-I (HK))
- Authorization Letter from Margin Clients (applicable for margin client only)
保證金客戶授權文件 (只適用於保證金客戶)
- Photocopy of Hong Kong permanent ID card(s) or Passport(s) of the account holder(s)
所有帳戶持有人的香港永久性居民身份證或護照副本
- Address proof within 3 months e.g. bank statement/ utility bills
最近三個月之住址證明, 如銀行月結單、水電或煤氣費用清單等
- Copy of bank information within 3 months (e.g. bank passport or statement)
最近三個月之銀行資料副本, 如銀行戶口存摺或月結單

Submit the application by one of the following channels:
請通過以下其中一個方式提交申請:

By Mail 以郵寄方式

Sign the Account Opening Form and mail it together with all supporting documents and a cheque payable to **“Hong Kong Securities (International) Company Limited”** for a minimum of HKD10,000 to o Room 706, 7/F, Tai Tung Building, 8 Fleming Road, Wan Chai, Hong Kong. The cheque should be issued by a Hong Kong licensed bank bearing your name identical to your identity documents and your signature identical to the signature shown in the Account Opening Form.

簽署已填妥的開戶申請表格, 連同所有證明文件, 以及一張抬頭為「香港證券(國際)有限公司」、最低金額為港幣一萬元的支票, 寄回本公司辦公室: 香港灣仔菲林明道 8 號大同大廈 7 樓 706 室。支票必須由本港持牌銀行發出, 註有閣下的姓名(須與閣下身份證明文件上之姓名相同)及簽署(須與閣下填妥的開戶申請表格上之簽署相同)。

In Person 親身遞交

Bring the Account Opening Form and all the supporting documents to our office at Room 706, 7/F, Tai Tung Building, 8 Fleming Road, Wan Chai, Hong Kong and sign the documents in front of our representatives.

親臨本公司提交開戶申請表格以及所有證明文件, 地址為香港灣仔菲林明道 8 號大同大廈 7 樓 706 室, 並在本公司之持牌代表見證下簽署該等文件。